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37	43
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OIPETAL			Applic	ation Number	09/964	4,100	
TRANSMITTAL		Filing Date		09/26/2001			
FORM		,	First Named Inventor		Ikuo Ozawa et al.		
(to be used for all correspondence after initial filing)		nitial filing)	Group Art Unit		3743		
(to be used in an correspondence after finitial filling)			Exami	ner Name	Ljiljan	a V. Ciric	
Total Number of Page	s in This Submission	14	Attorne	ey Docket Number	4041k	K-000036	
		ENCLOS	SURES	(check all that apply)			
			ment Papers Application)		After Allowance Communication to Group		
	I	☐ Drawing	g(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	sponse	Licensing	ng-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition			Pro	Proprietary Information	
Affidavits/dec	claration(s)	Petition to Convert to a Provisional Application		Status Letter			
Extension of Time	e Request	Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):			
Express Abandonment Request			al Disclaimer st for Refund		Transmittal of New Abstract in Accordance with MPEP 608.01(b) & CFR 1.72(b); Check		
Information Discle	sure Statement CD, Number of CD(s)		CD(s)		for \$110; and postcard.		
Certified Copy of Document(s)	Priority	Pomerke additional fees that m			hereby authorized to charge any hay be required under 37 CFR 1.16 or 1.17 to .08-175 A countier to copy of this		
Response to Miss Incomplete Applic						NOV 2 6 2002	
Response to Missing Parts under 37 CFR			-				
1.52 or 1.53	or CFR					HNOLOGY CENTER R3700	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name Harness, Dickey & Pierce, P.L.C		Attorney Name Reg. No. Michael J. Schmidt 34,007					
Signature							
Date Nosember 18, 2002							
CERTIFICATE OF MAILING/TRANSMISSION							
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.							
Typed or printed name Michael J. Schmdit							
Signature Date Nav18, 20032							
		se for				., , , , , , , , , , , , , , , , , , ,	

Approvements and Tradema Approvements of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OF TO A NOMETT AL	Complete if Known				
OVPREE TRANSMITTAL	Application Number	09/964,100			
Applicant earins small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 110	Filing Date	September 26, 2001			
	First Named Inventor	Ozawa et al.			
	Examiner Name	Ljiljana V. Ciric			
	Group / Art Unit	3743			
TOTAL AMOUNT OF PAYMENT (\$) 110	Attorney Docket No.	4041K-000036			

ME	THOD OF PAYMENT (check all that apply)				FEE C	ALCULATION (continued)	
⊠ Chook □ 0	Proditionard C Manager C Others C Name	3. ADI	DITIONA	L FEES			
│ ☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		Large	Entity	Small &	Entity		
☐ Deposit Accor		Fee	Fee	Fee	Fee		
Deposit		Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Account	08-0750	1051	130	2051	65	Surcharge - late filing fee or oath	
Number		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit		1053	130	1053	130	Non-English specification	
Account Name	Harness, Dickey & Pierce P.L100C.	1812	2,520	1812	2,520	For filing a request for reexamination	
	er is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application			1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s)	indicated below, except for the filing fee ifficient deposit account.	1251	110	2251	55	Extension for reply within first month	110
to the above-luen	FEE CALCULATION	1252	400	2252	200	Extension for reply within second month	
1. BASIC FIL	ING FEE	1253	920	2253	460	Extension for reply within third month	
Large Entity	Small Entity	1254	1,440	2254	720	Extension for reply within fourth month	
	Fee Fee <u>Fee Description</u> Code (\$)	1255	1,960	2255	980	Extension for reply within fifth mor	1
1001 740	2001 370 Utility filing fee	1401	320	2401	160	Notice of Appeal	
1002 330 2	2002 165 Design filing fee	1402	320	2402	160	Filing a brief in support of an appe	Z
1003 510	2003 255 Plant filing fee	1403	280	2403	140	Request for oral hearing	9
	2004 370 Reissue filing fee 2005 80 Provisional filling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	2
1000 1	1 Tovisional limitig fee	1452	110	2452	55	Petition to revive – unavoidable Petition to revive – unintentional Utility issue fee (or reissue) Design issue fee Plant issue fee Petitions to the Commissioner	6
SUBTOTAL (1) (\$) 0		1453	1,280	2453	640	Petition to revive – unintentional	21
0 57504 01 411	1555	1501	1,280	2501	640	Utility issue fee (or reissue)	002
2. EXTRA CLAIN		1502	460	2502	230	Design issue fee	
	Extra Fee from Fee Claims below Paid	1503	620	2503	310	Plant issue fee	
Total Claims	-20 ** = 0 X = 0	1460	130	1460	130	Petitions to the Commissioner	
Independent		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims Multiple	-3** = 0 X = 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent Large Entity	X = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Fee Code (\$) Fee Description	1809	740	2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9 Claims in excess of 20	1810	740	2810	370	For each additional invention to be	\vdash
1201 84	2201 42 Independent claims in excess of 3	ł				examined (37 CFR § 1.129(b))	
1203 280	2203 140 Multiple dependent claim, if not paid	1801	740	2801	370	Request for Continued Examination (RCE)	
1204 84	2204 42 ** Reissue independent claims over original patent	1802 900 1802 900 Request for expedited examination					
1205 18	2205 9 ** Reissue claims in excess of 20 and over original patent					of a design application	
		Other fo	ee (specil	fy)			
	SUBTOTAL (2) (\$) 0	*Redu	ced by Ba	asic Filin	r Fee Pa	aid SUBTOTAL (3)	
**or number prov	iously paid, if greater: For Poissues, see about		Dy De		,	(\$) 110	
or number prev	iously paid, if greater; For Reissues, see above	Ŀ					

SUBMITTED BY				C	omplete (if applicable)
Name (Print/Type)	Michael J. Schmidt	Registration No. Attorney/Agent)	34,007	Telephone	248-641-1600
Signature	-2/			Date	Nov 18, 2002

WARNING: Information of this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231. If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

09/964,100

Filing Date:

09/26/2001

Applicant:

Ikuo Ozawa et al.

Group Art Unit:

3743

Examiner:

Ljiljana V. Ciric

Title:

FRONT END STRUCTURE

Attorney Docket:

4041K-000036

RECEIVED

TECHNOLOGY CENTER R3700

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

TRANSMITTAL OF NEW ABSTRACT IN ACCORDANCE WITH MPEP 608.01(b) and 37 CFR §1.72(b)

Sir:

Pursuant to MPEP 608.01(b) and 37 C.F.R. § 1.72(b), please delete the Abstract of the Disclosure currently of record in the above referenced application and replace it with the new Abstract of the Disclosure which is attached.

Should the Examiner have any questions regarding the present amendment, he should not hesitate to contact the undersigned attorney at (248) 641-1600.

Respectfully submitted,

Dated: Nov 18, 2002

Michael J. Schmidt Reg. No. 34,007

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828
Bloomfield Hills, Michigan 48303

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